

**A Methodology for the Design of High-Performance
Communication Architectures for System-on-Chips Using
Communication Architecture Tuners**

I. DESCRIPTION OF THE INVENTION

This Application claims priority from co-pending U.S. Provisional
Patent Application No. 60/186,751 filed on March 03, 2000.

~~I.A. Field of the Invention~~

Sub A1
This disclosure teaches a methodology for the design of custom
5 system-on-chips communication architecture. Specifically a novel
electronic system and a method of designing a communication
architecture are disclosed. This Application is concurrently filed with US
Patent Application No. _____ by Raghunathan et, al [Attorney
Docket No. A7679].

I.B. Background of the Invention

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10 The evolution of the System-on-Chip (SOC) paradigm in
electronic system design has the potential to offer the designer several
benefits, including improvements in system cost, size, performance,
power dissipation, and design turn-around-time. The ability to realize
15 this potential depends on how well the designer exploits the
customizability offered by the system-on-chip approach. While one
dimension of this customizability is manifested in the diversity and
configurability of the components that are used to compose the system

(e.g., processor and domain-specific cores, peripherals, etc.), another, equally important, aspect is the customizability of the system communication architecture. In order to support the increasing diversity and volume of on-chip communication requirements, while
5 meeting stringent performance constraints and power budgets, communication architectures need to be customized to the target system or application domain in which they are used.

I.B.1. Related Work

Related work in the fields of system-level design, HW/SW co-
10 design, and networking protocols, have been examined herein to place the disclosed techniques in the context of conventional technologies. A substantial body of work exists in relation to system-level synthesis of application-specific architectures through HW/SW partitioning and mapping of the application tasks onto pre-designed cores and
15 application-specific hardware. For more details, see D. D. Gajski, F. Vahid, S. Narayan and J. Gong, *Specification and Design of Embedded Systems*. Prentice Hall, 1994; G. De Micheli, *Synthesis and Optimization Digital Circuits*. McGraw-Hill, New York, NY, 1994; R. Ernst, J. Henkel, and T. Benner, "Hardware-software cosynthesis for
20 microcontrollers," *IEEE Design & Test Magazine*, pp. 64-75, Dec. 1993; T.B. Ismail, M. Abid, and M. Jerraya, "COSMOS:A codesign approach

for a communicating system," in Proc. IEEE International Workshop on Software/Codesign, pp. 17-24, 1994; A. Kalavade and E. Lee, "A globally critical/locally phase driven algorithm for the constrained hardware software partitioning problem in Proc. *IEEE International Workshop on Hardware/Software Codesign*, pp. 42-48, 1994; P. H. Chou, R. B. Ortega, and G. B. Borriello, "The CHINOOK hardware/software cosynthesis system," in *Proc. Int. Symp. System Level Synthesis*, pp. 22-27, 1995; B. Lin, "A system design methodology for software/hardware codevelopment of telecommunication network applications," in *Proc. Design Automation Conf*, pp. 672-677, 1996; B. P. Dave, G. Lakshminarayana, and N. K. Jha, "COSYN: hardware-software cosynthesis of embedded systems," in *Proc. Design Automation Conf*, pp. 703-708, 1997 and P. Knudsen and J. Madsen, "Integrating communication protocol selection with partitioning in hardware/software codesign," in *Proc. Int. Symp. System Level Synthesis*, pp. 111-116, Dec. 1998.

While some of these conventional techniques attempt to consider the impact of communication effects during HW/SW partitioning and mapping, they either assume a fixed communication protocol (e.g., PCI-based buses), or select from a "communication library" of a few alternative protocols. Research on system-level synthesis of communication architectures mostly deals with synthesis

of the communication architecture topology, which refers to the manner in which components are structurally connected through dedicated links or shared communication channels (buses). For more details on these architectures, see T. Yen and W. Wolf,
5 "Communication synthesis for distributed embedded systems," in *Proc. Int. Conf. Computer-Aided Design*, pp. 288-294, Nov. 1995; J. Daveau, T. B. Ismail, and A. A. Jerraya, "Synthesis of system-level communication by an allocation based approach," in *Proc. Int. Symp. System Level Synthesis*, pp. 150-155, Sept. 1995; M. Gasteier and M.
10 Glesner, "Bus-based communication synthesis on system level," in *ACM Trans. Design Automation Electronic Systems*, pp. 1-11, Jan. 1999 and R. B. Ortega and G. Borriello, "Communication synthesis for distributed embedded systems ," in *Proc. Int. Conf. Computer-Aided Design*, pp. 437-444, 1998.

15 While topology selection is a critical step in communication architecture design, equally important is the design of the protocols used by the channels/buses in the selected topology. For example, the nature of communication traffic generated by the system components may favor the use of a time-slice based bus protocol in
20 some cases, and a static priority based protocol in others. For more details, see "Sonics Integration Architecture, Sonics Inc. (<http://www.sonicsinc.com/>).\" and *On-Chip Bus Development Working*

Group Specification I Version 1.1.0. VSI Alliance, Aug. 1998. The VSI Alliance on-chip bus working group has recognized that a multitude of bus protocols will be needed to serve the wide range of SOC communication requirements. See *On-Chip Bus Development Working*

5 *Group Specification I Version 1.1.0.* VSI Alliance, Aug. 1998. Further, most protocols offer the designer avenues for customization in the form of parameters such as arbitration priorities, transfer block sizes, etc. Choosing appropriate values for these parameters can significantly impact the latency and transfer bandwidth associated with
10 inter-component communication.

Finally, there is a body of work on interface synthesis, which deals with automatically generating efficient hardware implementations for component-to-bus or component-to-component interfaces. For more details, see G. Borriello and R. H. Katz,
15 "Synthesis and optimization of interface transducer logic," in *Proc. Int. Conf Computer Design*, Nov. 1987; J. S. Sun and R. W. Brodersen, "Design of system interface modules," in *Proc. Int. Conf. Computer-Aided Design*, pp. 478-481, Nov. 1992; P. Gutberiet and W. Rosenstiel, "Specification of interface components for synchronous
20 data paths," in *Proc. Int. Symp. System Level Synthesis*, pp. 134-139, 1994; S. Narayanan and D. D. Gajski, "Interfacing incompatible protocols using interface process generation," in *Proc. Design*

Automation Conf., pp. 468-473, June 1995; P. Chou, R. B. Ortega, and
O. Borriello, "Interface co-synthesis techniques for embedded
systems," in *Proc. Int. Conf. Computer-Aided Design*, pp. 280-287,
Nov. 1995; J. Oberg, A. Kumar, and A. Hemani, "Grammar-based
5 hardware synthesis of data communication protocols," in *Proc. Int.
Symp. System Level Synthesis*, pp. 14-19, 1996; R. Passerone, J. A.
Rowson, and A. Sangiovanni-Vincentelli, "Automatic synthesis of
interfaces between incompatible protocols," in *Proc. Design
Automation Conf.*, pp. 8-13, June 1998 and J. Smith and G. De
10 Micheli, "Automated composition of hardware components," in *Proc.
Design Automation Conf.*, pp. 14-19, June 1998. These techniques
address issues in the implementation of specified protocols, and not in
the customization of the protocols themselves.

In summary, conventional technologies in the field of system-
15 level design and HW/SW co-design do not adequately address the
problem of customizing the protocols used in SOC communication
architectures to the needs of the application. Further, in previous
research, design of the communication architecture is performed
statically using information about the application and its environment
20 (e.g., typical input traces). However, in several applications, the
communication bandwidth required by each component, the amount of
data it needs to communicate, and the relative "importance" of each

communication request, may be subject to significant dynamic variations. In such situations, protocols used in conventional communication architectures may not be capable of adapting the underlying communication topology to meeting the application's
5 varying needs.

In the field of telecommunications and networking protocol design, a significant body of research has been devoted to the design of protocols to meet diverse quality of service (QoS) parameters such as connection establishment delay and failure probability, throughput,
10 residual error ratio, etc. For details on these parameters, see A. S. Tanenbaum, *Computer Networks*. Englewood Cliffs, N.J, Prentice Hall, 1989. Sophisticated conventional techniques such as flow and traffic control algorithms have been proposed in that context for adapting the protocol to improve the above-mentioned metrics.

15 With increasing complexity, system-on-chip communication architectures will need to evolve by drawing upon some of the techniques that have been developed in the context of telecom networks. However, there are significant differences such as, but not limited to, the latency requirements, error tolerance and resilience
20 requirements, which differentiate the problem that is addressed herein and the problems encountered in telecom network protocol design.

I.B.2. Communication Architecture Tuners: Introduction and Design Issues

In this section, the need for CAT-based communication architectures is demonstrated by showing how the limited flexibility of conventional communication architectures, and their inability to adapt to the varying communication needs of the system components, can lead to significant deterioration in the system's performance.

Example 1: Consider the example system shown in FIG. 1 that represents part of the TCP/IP communications protocol used in a network interface card (hereinafter this system is referred to as the TCP system). The system shown in FIG. 1 performs checksum-based encoding (for outgoing packets) and error detection (for incoming packets), and interfaces with the Ethernet controller peripheral (which implements the physical and link layer network protocols). Since packets in the TCP protocol do not contain any notion of quality of service (QoS), the packet data structure has been enhanced to contain a field in the header that indicates a deadline for the packet to be processed. The objective during the implementation of the system is to minimize the number of packets with missed deadlines.

FIG. 1(a) shows the behavior of the TCP system as a set of concurrent communicating tasks or processes. The tasks performed by the TCP system for a packet received by the system from the

network are explained herein. The process ether_driver, which represents the Ethernet device driver, reads data from the Ethernet controller peripheral and creates a packet in the shared system memory. Process pkt_queue maintains a queue containing selected
5 information from the packet headers. Process ip_check dequeues packet information from the above-mentioned queue, zeroes out some specific fields in the packet header, and co-ordinates the checksum computation. Process checksum retrieves the packet from the shared memory and computes the checksum value for each packet and
10 returns the value to the ip_check process, which flags an error when appropriate.

FIG. 1(b) shows the system architecture used to implement the TCP system. The ether_driver and pkt_queue processes are mapped to embedded software running on a MIPS R3000 processor, while the
15 ip_check and checksum processes are implemented using dedicated hardware. All communications between the system components are implemented using a shared bus. The protocol used in the shared bus supports static priority based arbitration and DMA-mode transfer. Herein, the term DMA mode transfer is used to refer to the
20 transmission of data in clusters or chunks larger than a single bus word. In static priority based arbitration, each component connected to the bus is assigned a fixed priority. At any time, the arbiter grants

the use of the bus to the requesting component with highest priority value. The granularity of these chunks is governed by the value of the DMA size parameter assigned to each component.

5 The bus arbiter and the bus interfaces of the components together implement the bus protocol. The bus protocol allows the system designer to specify values for various parameters such as the bus priorities and DMA block size for each component, etc.

10 The performance of the TCP system of FIG. 1 for several distinct values of the bus protocol parameters are analyzed. For this experiment, for ease of explanation, only the bus priority values for each component, with fixed values for the remaining protocol parameters. The system simulation was performed using traces of packets with varying laxities of deadlines. An abstract view of the execution of the TCP system processing four packets (numbered $i, i + 1, j, j + 1$) is shown in FIG. 2. The figure indicates the times at which each packet arrives from the network, and the deadline by which it needs to be processed. Note that while the arrival times of the packets are in the order $i, i + 1, j, j + 1$, the deadlines are in a different order $i + 1, i, j, j + 1$. For the sake of the present
15 20 illustration, two different bus priority assignments have been focused on (*checksum* > *ip_check* > *ether_driver* and *ether_driver* > *ip_check*

> *checksum*). While other priority assignments are not explicitly considered here, it should be clear to a skilled artisan that the arguments presented for one of the above two cases will hold for every other priority assignment.

5 The first waveform in FIG. 2 represents the execution of the system when the bus priority assignment *checksum* > *ip_check* > *ether_driver* is used. After the completion of the *ether_driver* process for packet *i*, the arbiter receives two conflicting bus access requests: process *ip_check* requests bus access to process packet *i*, while
10 *ether_driver* requests bus access to process packet *i* + 1 (since packet *i* + 1 has already arrived from the network). Based on the priority assignment used, the arbiter gives bus access to process *ip_check*. This effectively delays the processing of packet *i* + 1 until *ip_check* and *checksum* have completed processing packet *i*. This leads to
15 packet *i* + 1 missing its deadline. Packets *j* and *j* + 1 do meet their deadlines, as shown in FIG. 2. In general, for any sequence of packets whose deadlines are not in the same order as their arrival times, the priority assignment (*checksum* > *ip_check* > *ether_driver*) may lead to missed deadlines.

20 It is attempted to eliminate the problem mentioned above by using a different priority assignment (*ether_driver* > *ip_check* > *checksum*) for the bus protocol. The execution of the system under

the new priority assignment is depicted in the second waveform of FIG. 2. As a result of the new priority assignment, when packet $i + 1$ arrives, process *ether_driver* is able to process it without waiting for packet i to complete. This results in the deadlines for both packets i and $i + 1$ being met. However, consider packets j and $j + 1$ whose deadlines are in the same order as their arrival times. After process *ether_driver* completes processing packet j , contention for the shared bus occurs between process *ether_driver* for packet $j + 1$, and process *ip_check* for packet j . Based on the chosen priority assignment, the arbiter decides in favor of process *ether_driver*. This delays the execution of process *ip_check* and *checksum* for packet j , leading to the system missing packet j 's deadline.

In summary, each of the two bus priority assignments considered for the TCP system led to missed deadlines. Further, the arguments presented in the previous two paragraphs can be applied to show that for *every possible priority assignment*, either packet $i + 1$ or packet j will miss its deadline.

The deficiency of the communication architecture that leads to missed deadlines in the TCP example can be summarized as follows.

The relative importance of the communication transactions generated by the various system components (*ether_driver*, *ip_check*, and *checksum*) varies depending on the deadlines of the packets they are

processing. In general, the importance or criticality of each communication transaction may depend on several factors which together determine whether the communication will be on the system's critical path. The communication architecture needs to be able to discern between more critical and less critical communication requests and serve them accordingly.

As shown in the TCP example, conventional communication architectures suffer from the at least the following drawbacks: (i) the degree of customizability offered may be insufficient in systems with stringent performance requirements, and (ii) they are typically not capable of sensing and adapting to the varying communication needs of the system and the varying nature of the data being communicated.

II. SUMMARY OF THE DISCLOSED TECHNIQUES

In this disclosure, a general methodology for the design of custom system-on-chip communication architectures, which are flexible and capable of adapting to varying communication needs of the system components is presented. The disclosed technique can be used to optimize any underlying communication architecture topology by rendering it capable of adapting to the changing communication needs of the components connected to it. For example, more critical

data may be handled differently, leading to lower communication latencies. This results in significant improvements in various quality of service (QoS) metrics, including the overall system performance, observed communication bandwidth and bus utilization, and the
5 system's ability to meet critical deadlines.

The present technique is based on the addition of a layer of circuitry, called the Communication Architecture Tuner (CAT), to each component. The CAT monitors and analyzes the internal state of, and communication transactions generated by, a system component and
10 "predicts" the relative importance of communication transactions in terms of their impact on different system-level performance metrics. The results of the analysis are used by the CAT to configure the parameters of the underlying communication architecture to best suit the component's changing communication needs.

15 To meet the objects of the invention there is provided a method of designing a communication architecture comprising receiving a partitioned system, communication architecture topology, input traces and performance matrices; analyzing and creating communication analysis graph (CAG); partitioning communication instances to create
20 partition clusters; evaluating cluster statistics related to the partition clusters and assigning parameter values to the partition clusters to form a new system with new communication architecture; reanalyzing

the new system and recomputing performance metrics; if performance is improved then synthesizing CATs to realize optimized protocols; and if performance is not improved then repeating the steps;

Preferably the partitioning further comprises analyzing the CAG
5 to measure impact of individual communication instance delays on system performance; measuring performance impact of an instance using sensitivity; and grouping instances having a similar impact on system performance into a same partition.

Still preferably the sensitivity of the system performance to a
10 communication instance is measured by perturbing an existing delay of the communication instance by a value; traversing a transition fanout of the communication instance in the CAG; recomputing a start and finish time of affected vertices; and
calculating changes in the system performance using recomputed
15 finish times.

Preferably evaluating cluster statistics is accomplished by deriving a metric that penalizes a partition having a negative impact on delays of communication events in other partitions.

Still preferably the metric is calculated as follows: analyzing
20 the CAG and evaluating for each partition pair CP_i CP_j an amount of time for which communication events that belong to CP_i are delayed due to events from CP_j to form delay statistics ; and combining the

delay statistics into a formula that produces an optimum parameter assignment.

Still preferably the parameter assignment is done using heuristics.

5 Still preferably the parameter is priority.

Still preferably the parameter is DMA block size.

Still preferably the parameter assignment takes into account hardware complexity of implementing the parameter.

10

III. BRIEF DESCRIPTION OF THE DRAWINGS

The above objectives and advantages of the present invention will become more apparent by describing in detail preferred
15 embodiments thereof with reference to the attached drawings in which:

FIG.1 (a) shows an example TCP system specification using a conventional bus-based communication architecture.

FIG.1 (b) shows an example TCP system implementation using
20 a conventional bus-based communication architecture.

FIG. 2 shows an execution of the TCP system for various bus priority assignments.

FIG. 3 (a) - (b) show a CAT-based communication architecture for the TCP example.

FIG. 4 shows the execution of the CAT-based architecture for the TCP system.

5 FIG. 5 shows a data encryption system that illustrates tradeoffs in the identification of critical communication events.

FIG. 6 shows a trace activity for the system shown in FIG.5.

FIG. 7(a)-(b) show a plot of different classification metrics with respect to the number of variables used for the classification.

10 FIG. 8 (a) shows an example system with a CAT-based communication architecture.

FIG. 8 (b) shows a detailed view of a components in FIG.8 (a) using a CAT.

15 FIG. 9 shows a symbolic illustration of a CAT-optimizad communication architecture execution.

FIG. 10 shows an implementation of the procedure for designing a CAT-based communication architecture.

FIG. 11 shows sensitivity calculation and partitioning instances
in the CAG.

20 FIG. 12 shows alternate predictor strategies.

FIG. 13 shows the performance of predictors.

FIG. 14 shows an FSM implementation of Formula₁.

FIG. 15 shows an output queued ATM switch.

FIG. 16 shows an example system SYS with concurrent bus access.

FIG. 17 shows example system BRDG with multiple buses.

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IV. DETAILED DESCRIPTION OF THE DISCLOSED TECHNIQUES

IV.A. Roadmap of the Description

The need for CAT-based communication architectures is presented by analyzing example systems and scenarios in which no static customization of the protocols can completely satisfy the system's time-varying communication requirements. The issues and tradeoffs involved in the design of CAT-based communication architectures is illustrated. It is then demonstrated that the hardware implementation complexity of the CAT needs to be considered in order to maximally exploit the potential for performance improvements. A general methodology and algorithms for the design of CAT-based SOC communication architectures is then presented. Given a system with a defined communication architecture topology, typical input traces, and target performance metrics, the disclosed techniques are used to determine optimized communication protocols for the various channels/buses in the system. Then an efficient hardware

implementation in the form of CATs which are connected in between
each component and the communication architecture are disclosed.
The techniques are further illustrated with experimental results for
several example systems, including an ATM switch port scheduler and
5 a TCP/IP Network Interface Card subsystem. The test results are
shown to indicate that performance metrics (e.g., number of missed
deadlines, average or aggregate processing time, etc.) for systems
with CAT-based communication architectures are significantly
(sometimes over an order of magnitude) better than systems with
10 well-optimized conventional communication architectures.

In summary:

- CAT-based communication architectures can *extend the power of* any underlying communication architecture. The timing behavior presented by a CAT-based communication architecture to each component connected to it (such as communication latency and bandwidth) is *better customized to, and varies according to,* the component's needs. This results in significantly improved system performance.
15
- The presented CAT design methodology trades off
20 sophistication of the communication architecture

protocols with the complexity (and hence, overhead incurred by) the added hardware.

- In several cases, the use of CAT-based communication architectures can result in systems that significantly outperform those based on any static customization of the protocol parameters.

IV.B. CAT-based Communication Architectures

In this sub-section, CAT-based communication architectures are shown and how such architecture address the above-mentioned drawbacks is illustrated. Then, key issues and tradeoffs involved in a CAT-based communication architecture design methodology are discussed.

CAT-based communication architectures address the problems discussed in the background section through the use of a hardware layer that adapts the underlying communication architecture according to the changing needs of the various components connected to it. Using the example discussed in the Background section, it is shown how a CAT-based communication architecture can be used to improve the performance.

In this example, the CAT observes the packet size and deadline fields from the header of the packet currently being processed by the component. The CAT performs the following functions: (i) it groups communication events based on the size and deadline of the packet currently being processed, and (ii) for events from each group, it determines an appropriate assignment of values to the various protocol parameters. As a result, the characteristics of the communication architecture (including the time required to perform a communication) are adapted according to the different needs and relative importance of the communication requests. The rationale behind using the deadline is that packets with closer deadlines need to

be given higher importance. The rationale behind using the size of the packet is more complex. In cases when all the packets in the system have roughly equal deadlines, it is advantageous to favor the completion of packets which are smaller, since they have a better chance of meeting the deadline.

The techniques presented later in this disclosure is used to implement the CAT-based TOP system architecture shown in FIG. 3. For the ease of illustration, the CATs were used to vary only the bus priorities. All other parameters were specified to the same values that as used in the architecture of FIG. 1. The CAT groups the communication requests generated from a component based on the packet they belong to, and the priority of all communication requests associated with a packet are computed using the formula $s * (t_d - t_a)$ where s , t_d and t_a represent the packet size, deadline, and arrival time, respectively.

The execution of the optimized system is shown in FIG. 4. The same packet sequence that was used to illustrate the inadequacy of the conventional communication architecture in FIG. 2 was used for this architecture development. The system meets the deadlines for all the packets (recall that the original system architecture presented in FIG. 1 missed deadlines for all priority assignments). When packet $i + 1$ (which has a tight deadline) arrives, the CAT assigns to the

communication requests generated by *ether_driver* a priority higher than those assigned to requests from *ip_check* and *checksum*, which are still processing packet *i*. This leads to packet *i* + 1 meeting its deadline. When packet *j* + 1 arrives, however, the communication requests generated by *ether_driver* are assigned a lower priority, allowing *ip_check* and *checksum* to process packet *j* to completion in order to meet its tight deadline.

From the conventional Example 1 and the inventive Example. 2 the need for Communication architecture tuners is clear. Such tuners detect the changing communication needs of the components they are connected to, and enable the system to effectively re-deploy its communication resources, when needed. An effective realization of a CAT-based communication architecture hinges on judiciously performing the following steps:

- Identifying performance-critical communication events from a performance analysis of the system.
- Detecting the occurrence of these communication events in hardware while the system is executing.
- Assigning appropriate values for communication protocol parameters (such as priorities and DMA sizes) to the critical events, and translating these results into a high-performance implementation.

While several techniques have been proposed for system-level performance analysis and can be used for the first step, the present disclosure uses an *analysis of the system execution traces* as a basis for identifying critical communication events. For more background details on system execution traces, see D. D. Gajski, F Vahid, S. Narayan and J. Gong, *Specification and Design of Embedded Systems*. Prentice Hall, 1994 and G. De Micheli, *Synthesis and Optimization Digital Circuits*. McGraw-Hill, New York, NY, 1994. A significant advantage of using execution traces generated through system simulation, is that they can be derived for any system for which a system-level simulation model exists. The generated traces can be analyzed to examine the impact of individual (or groups of) communication events on the system's performance. Communication events which are on the system "critical paths", and whose delays significantly impact the specified performance metrics can be classified as critical. The details of the technique that is used to identify critical communication events are provided in Section IV.C.

Since the system execution trace is specific to the input traces or stimuli used, there is no *simple way* to correlate the critical communication events in the simulation trace to critical communication events that occur while the *system executes* (possibly under different stimuli). For example, consider a communication trace where the

twentieth, twenty-first, and twenty-second data transfers after the start of system execution are shown to have a strong impact on system performance. Speeding up these data transfers would significantly improve system performance for the given input trace.

- 5 Suppose that these insights need to be translated into an improved communication protocol. Clearly, a naive system, where the twentieth, twenty-first, and twenty-second data transfers have a high-priority, might not realize any performance gains, because the sequence of events that occurs during the system's execution could
- 10 differ significantly from that of the trace. In addition to identifying critical communication events, their occurrence need to be correlated to other easily detectable properties of the system state and data it is processing.

- For example, if an analysis of the simulation trace reveals that
- 15 the occurrence of a critical data-transfer is highly correlated to a specific branch being encountered in the behavior of the component executing the transfer, the occurrence of the branch might be used as a predictor for the criticality of the data transfers generated by the component. The following example examines some tradeoffs in
- 20 designing these predictors.

Example 3: Consider the system shown in FIG. 5, which is used to encrypt data for security before transmission onto a communications

network. *Component1* processes the data, determines the coding and encryption scheme to be used, and sends the data to *Component2*, which encodes and encrypts the data before sending it through the shared bus to the peripheral that transmits it onto the network. FIG. 6

5 shows the data transfers occurring on the system bus. The shaded ellipses, marked y_i ($i = 1 \dots n$), represent data transfers from *Component2* to the network peripheral. Suppose *Component2* should transfer data at a fixed rate, and each data transfer should occur before a deadline (indicated in FIG. 6 by a dotted line). A key

10 performance metric for the system is the number of data transfers completed by *Component2* in a timely manner. The communication trace indicates that deadlines are frequently not met. Analysis of the system execution trace also identifies communication events that did not meet their deadlines, e.g., y_1 and y_2 . In addition, it also identifies

15 *critical communication events*, i.e., those which, when sped up, could potentially improve system performance. Since y_i can occur only after x_i , speeding up x_i is one of the ways of improving system performance. Let S denote the set of all x_i 's such that y_i does not meet the deadline. The performance of the system can improve if the

20 communication times of the events in S improve.

Having isolated the critical communication events from the simulation trace, schemes need to be developed to identify these

elements during the execution of the system. As mentioned before, this is done by correlating the occurrence of critical communication events with information about the system state and data it is processing. In this example, suppose critical communication events

5 are correlated with the control-flow history of the component that generated them. A *control-flow event* is defined as a Boolean variable which assumes a value of 1 when a component executes a specific operation. For example, the behavior of *Component1* shown in FIG. 5 is annotated with control-flow events e_1 , e_2 , e_3 , and e_4 . In general, if

10 e_1, e_2, \dots, e_n are the control-flow events which are used to determine whether or not a communication request is critical, a *Boolean* function $f_{critical} = f(e_1, e_2, \dots, e_n)$ is defined whose on-set denotes the set of communication events classified as critical.

The number of control-flow variables used for this classification

15 has a profound impact on the classification of communication events. A good classification should have the properties of a one-to-one map, *i.e.* every event classified as critical should indeed be critical, and every critical event should be detected by the classification. Suppose, in this example, if only one variable can be used for classification.

20 Assume e_3 is chosen as a classifier. In all the cases where deadlines are missed, event e_3 occurs. Based on this insight, $f_{critical} = e_3$ may be chosen. However, e_3 often occurs along with non-critical

communication events as well. If $e3$ is used as a classifier, only 16% of the communication events classified to be critical are indeed critical. Therefore, $e3$ could misclassify several communication events, and incorrectly increase their priorities, causing system performance to suffer.

FIG.s 7 (a) and (b) plot the percentage of critical communication events in $f_{critical}$ and the percentage of S covered by $f_{critical}$ versus the number of variables that perform the classification, respectively. The x axis shows the number of variables used to perform the classification. For example, the best classifier that uses two variables captures 100% of critical communication events, while only 50% of the communication events classified as "critical" by it are actually critical. Note that, in this example, as the number of variables increases, the percentage of critical communication events in $f_{critical}$ increases. This is because, as the number of variables increases, the classification criterion becomes more stringent, and non-critical events are less likely to pass the test. However, simultaneously, critical events could be missed, as shown in FIG. 7(b) (note the decrease in the percentage of S covered as the number of variables used increases). Therefore, one needs to judiciously choose the right number of variables, and the right classification functions in order to maximally improve system performance. In this example, optimal

results are obtained by using three variables ($e1$, $e2$, and $e3$) and a classification function $f_{critical} = e1.\overline{e2}.e3$. This identifies most of the critical events, and very few non-critical ones.

IV.C. Methodology and Algorithms for the Design of Communication Architecture Tuners

In this section, a structured methodology and automation algorithms for the design of CAT-based communication architectures is presented. Section IV.C.1 explains the overall methodology and outlines the different steps involved. Section IV.C.2 presents the algorithms used to perform the critical steps in more detail.

IV.C.1. Algorithm and Methodology: Overview

In this section, the techniques are described in the context of a design flow where the system is first partitioned and mapped onto various pre-designed cores and application-specific logic. Based on the communication and connectivity requirements of the system, a communication architecture topology is selected. The selected topology can then be optimized using the proposed techniques. The present algorithm takes as inputs a simulateable partitioned/mapped system description, the selected communication architecture topology, typical environment stimulus or input traces, and objectives and/or constraints on performance metrics. The performance metrics could

be specified in terms of the amount of time taken to complete a specific amount of work (*e.g.*, a weighted or uniform average of processing times) or in terms of the number of output deadlines met or missed for applications with real-time constraints. The output of the algorithm is a set of optimized communication protocols for the target system. From a hardware point-of-view, the system is enhanced through the addition of Communication Architecture Tuners wherever necessary, and through the modification of the controllers/arbiters for the various channels in the communication architecture.

A typical system with a CAT-based communication architecture generated using our techniques is shown in FIG. 8(a). The system contains several components, including a processor core, memories, and peripherals. The selected communication architecture topology is enclosed in the dotted boundary. The topology selected consists of dedicated channels between components (*e.g.*, between the processor and co-processor), as well as two shared buses that are connected by a bridge. The portions of the system that are added or modified as a result of the disclosed technique are shown shaded in FIG. 8(a). The present technique can be applied to general communication architecture topologies that can be expressed as an arbitrary interconnected network of dedicated and shared channels.

A more detailed view of a component with a CAT is shown in FIG. 8(b). The CAT consists of a "partition detector" circuit, which is shown as a finite-state automaton in the figure, and parameter generation circuits that generate values for the various communication architecture protocol parameters during system execution. Next, the role of these circuits are described briefly.

Partition detector: a *communication partition* is described as a subset of the communication transactions generated by the component during system execution. For each component, the present algorithm identifies a number of partitions, and the conditions that must be satisfied by a communication transaction for it to be classified under each partition. These conditions are incorporated into the partition detector circuit. The partition detector circuit monitors and analyzes the following information generated by the component:

- *Tracer tokens* generated by the component to indicate that it is executing specific operations. The component is enhanced to generate these tokens purely for the purpose of the CAT.
- The communication transaction initiation requests that are generated by the component.

- Any other application-specific properties of the communication data being generated by the component (e.g., fields in the data which indicate its relative importance).

The partition detector uses specific sequences of tracer tokens
 5 and communication requests to identify the beginning and end of a
 sequence of consecutive communication transactions that belong to a
 partition. For example, the regular expressions $t1.x^*.C^4$ and $t1.x^*.C^8$
 may be used to delineate communication events that belong to
 partition CP_1 . This means that the fourth to seventh communication
 10 transactions that are generated following token $t1$ will be classified
 under partition CP_1 .

In Section IV.C.2 general techniques to automatically compute
 the start and end conditions for each partition are disclosed.

Parameter generation circuits: These circuits compute values for
 15 communication protocol parameters (e.g. priorities, DMA/block sizes,
etc.) based on the partition ID generated by the partition detector
 circuit, and other application-specific data properties specified by the
 system designer. The values of these parameters are sent to the
 arbiters and controllers in the communication architecture, resulting in
 20 a change in the characteristics of the communication architecture.

Automatic techniques to design the parameter generation circuits are presented in Section IV.C.2.

The functioning of a CAT-based communication architecture is illustrated using symbolic waveforms in FIG. 9. The first two waveforms represent tracer tokens generated by the component. The next two waveforms represent the communication transactions generated by the component, and the state of the partition detector circuit, respectively. The state of the partition detector circuit changes first from S_0 to S_1 , and later from S_1 to S_2 , in reaction to the tracer tokens generated by the component. The fourth communication transaction generated by the component after the partition detector reaches state S_2 causes it to transition into state S_3 . All communication transactions that occur when the partition detector FSM is in state S_3 are classified as belonging to partition CP_1 . The fifth waveform shows the output of the priority generation circuit. The priority generation circuit assigns a priority level of 4 to all communication transactions that belong to partition CP_1 . This increase in priority leads to a decrease in the delay associated with the communication transactions that belong to partition CP_1 , as shown in the last waveform of FIG. 9.

An embodiment of the overall algorithm for designing CAT-based communication architectures is shown in FIG. 10. In step 1,

performance analysis is performed on the partitioned/mapped system description in order to derive the information and statistics used in the later steps. In the present work, the performance analysis technique presented in Lahiri et al, which is comparable in accuracy to complete
5 system simulation, while being much more efficient to employ in an iterative manner. For more details, see K. Lahiri, A. Raghunathan and S. Dey, "Fast Performance Analysis of Bus Based System-on-Chip Communication Architectures," in *Proc. Int. Conf. Computer-Aided Design*, Nov. 1999. The output of this analysis is a *communication*
10 *analysis graph*, (CAG) which is a highly compact representation of the system's execution under the given input traces. The vertices in the graph represent clusters of computations and abstract communications performed by the various components during the system execution. The edges in the graph represent the inter-dependencies between the
15 various computations and communications. Note that since the communication analysis graph is effectively unrolled in time, it is acyclic, and may contain several distinct instances of a single computation operation or communication from the system specification. The communication analysis graph is constructed by
20 extracting necessary and sufficient information from a detailed system execution trace. See K. Lahiri, A. Raghunathan and S. Dey, "Fast Performance Analysis of Bus Based System-on-Chip Communication

Architectures," in *Proc. Int. Conf. Computer-Aided Design*, Nov. 1999.

The CAG can be easily analyzed to determine various performance statistics such as system critical path, average processing time, number of missed deadlines, etc.

5 In step **2**, the communication vertices are grouped in the communication analysis graph into a number of partitions. The main rationale behind this partitioning is that each of the partitions may have distinct communication requirements, and hence may potentially require a different set of values to be assigned to the parameters of
10 the communication protocol (e.g., priorities, DMA sizes, etc.) in order to optimize system performance. Note that in the extreme case, each communication vertex in the communication analysis graph can be assigned to a distinct partition. However, this has two disadvantages: (i) the area and delay overhead incurred in the CAT may become
15 prohibitive, and (ii) the use of very small partitions can lead to CAT hardware that is highly sensitive to variations in input traces. The present disclosure proposes a novel metric, called *sensitivity*, which is used to group communication instances (vertices) into partitions in Section IV.C.2.a. Techniques that enable the designer to select an
20 optimal granularity for the partitions are also presented.

Step **3** evaluates various statistics for each communication partition, based on which, step **4** determines an assignment of

communication architecture parameter values for each partition. The details of these steps are presented in Section IV.C.2.b. The output of step 4 is a set of candidate protocols for the system communication architecture.

5 Step 5 re-evaluates the system performance for the optimized protocols derived in Step 4. If a performance improvement results, steps 1 to 5 are repeated until no further performance improvement is obtained.

10 Step 6 deals with synthesis of hardware (CATs) to implement the optimized protocols that were determined in step 4. It is critical to consider the hardware implementation complexity and overheads in order to fully exploit the potential of CAT-based communication architectures. In Section IV.C.2.c, the problem of generating the partition detector and parameter generation circuits is formulated as a
15 problem of generating a minimum-complexity function to fit a set of data points, and outline how it can be efficiently solved using well-known techniques from regression theory. See G.A.F. Seber, C.J. Wild., *Non-linear Regression*. Wiley, New York, 1989.

IV.C.2. Algorithm and Methodology: Details

20 In this section the steps outlined above are discussed in more detail. Techniques to obtain partitions of the communication event

instances are presented. How to select an optimal set of protocol parameter values and how to synthesize CAT hardware for classifying communication event instances into partitions is discussed.

a) Profiling and partitioning communication event instances

5

In this section, the partitioning step of the present methodology (step **2** of FIG. 10) is discussed in detail. The objective of the partitioning step is to identify and cluster into a single partition, a set of communication event instances that can be treated by the communication protocol in a uniform manner. For instance, the protocol could define all members of a given partition to have the same priority for accessing a shared bus.

10

The communication analysis graph generated by step **1** of our algorithm contains sufficient information to measure the performance of the system as a function of the delays of its communication events. In step **2**, an analysis of the CAG is performed to measure the impact of individual communication instance delays on the system performance. Instances which have a similar impact on the system performance are grouped into the same partition. The performance impact of an instance is measured by a parameter called *sensitivity* that captures the change in system performance when the

15

20

communication delay of the instance changes. The following example illustrates the partitioning procedure.

FIG. 11 shows a section of a CAG generated from a representative execution of an example system. Shaded vertices c_1 through c_4 represent instances of communication events. Vertices z_1 and z_2 represent the final outputs of the system. The objective function to be minimized is the quantity $t(z_1) + t(z_2)$ where $t(v)$ is the finish time of a vertex v in the CAG.

To measure the sensitivity of the system performance to communication instance c_1 , the existing delay of c_1 is perturbed by a value Δ , and a traversal of the transitive fanout of c_1 in the CAG is used to re-compute the start and finish times of the affected vertices. The updated finish times of the vertices are used to calculate the change in the system performance metric. In this example, perturbing the delay of c_1 by 10 units delays the finish of both z_1 and z_2 by 10 units each, while perturbing the delay of c_2 delays z_1 alone. Similarly, delaying c_3 delays the finish time of z_2 by 10 units. Since c_4 doesn't lie on a critical path, perturbing it has no effect on system performance.

Using the procedure described above, a sensitivity $s(c_i)$ for each communication instance c_i , is calculated which measures the change in the value of the objective function Φ after perturbing the delay of c_i by Δ . Next, communication instances that have similar sensitivity values

are assigned to the same partition. In this example, based on the $s(c_i)$ values shown in FIG. 11, c_1 is assigned to **CP₁**, c_2 and c_3 are assigned to **CP₂**, and c_3 is assigned to **CP₃**. As mentioned before, events in the same partition are treated similarly by the CAT.

5

b) Modifying Protocol Parameters

In this section steps **3** and **4** of the disclosed methodology are described, *i.e.*, how to examine each partition and then assign optimized protocol parameter values to them. While the discussion is confined to determining the priority that should be assigned to each partition, it could be extended to include other protocol parameters such as whether burst mode should be supported or not, and if so what the correct DMA size should be.

The *sensitivity* of a partition indicates the impact its events have on the performance of the system. However assigning priorities based on the sensitivity of a partition alone may not lead to the best assignment. This is because sensitivity does not capture the indirect effects of a communication event or set of events on the delays of other concurrent communication events (such effects occur due to the presence of shared channels/buses in the communication architecture). This is accounted for by deriving a metric that penalizes partitions which are likely to negatively impact the delays of

communication events in other partitions. In order to obtain this information, the CAG is analyzed and evaluated, for each pair of partitions CP_i, CP_j , the amount of time for which communication events that belong to CP_i are delayed due to events from CP_j . Table 1 shows example data for a system with three partitions. Column 2 gives the sensitivity of each partition. Columns 3, 4 and 5 gives the total time (w_{ij}) that instances in partition CP_1, CP_2 and CP_3 wait for instances in each of the other partitions. For example, instances in CP_1 induce a total wait of 100 cycles for instances of CP_2 to finish. Column 6 gives the sum of columns 3, 4 and 5 to indicate the total waiting time (W_i) events in partition CP_i have introduced in other partitions, e.g., CP_2 induces a total wait of only 7 cycles on CP_1 and CP_3 .

Table 1: Statistics of the Partition

Partition	Sensitivity $s(c_i)$	w_{11} (clock cycles)	w_{12} (clock cycles)	w_{13} (clock cycles)	W_i (clock cycles)	Priority mapping
CP_1	100	0	100	3	103	17.18 => 2
CP_2	85	4	0	3	7	23.57=> 1
CP_3	10	0	7	0	7	-75.0=> 3

Finding the ideal way to combine these statistical parameters into a formula that produces the optimum priority assignment is a hard optimization problem to solve. Instead, a heuristic calculation that boosts a partition's priority in a way proportional to its sensitivity, but

penalizes it for the waiting times w_{ij} it introduces in other partitions is used. Using the notation of Table 1, the priority of a partition CP_i is defined as:

$$P_i = V(s(i) - \sum_{j=1}^n \frac{s(j)w_{ij}}{W_i})$$

5 In this formula, the first term accounts for the sensitivity of the partition CP_i , while the summation penalizes the partition for holding up others. The function $V(x)$ maps x , the result of the summation to a small integer number P_i , while preserving the relative order, i.e., if $x_i > x_j$, $P_i > P_j$. Column 7 of Table 1 shows this mapping for the given
10 values.

c) Synthesis of an optimal communication protocol

In this section how to synthesize hardware to classify each instance of a communication event generated by component into a
15 partition is described. The classification is based on the current state of a component which is derived from a short history of its control flow.

Procedure for FSM synthesis is explained with an example. FIG. 12 shows an extract of a CAG after the sensitivity-based partitioning
20 step has been performed. For simplicity, only communication vertices are shown in the figure. In the execution trace of component $Comp_1$,

all highlighted vertices belong to partition CP_1 . In the CAT hardware synthesis step, the goal is to generate a Boolean formula which evaluates to 1 when a vertex belongs to CP_1 and to 0 at other times. In order to obtain a low-complexity hardware implementation, the only requirement is that the Boolean formula approximate the chosen partitioning scheme as closely as possible. The next three waveforms in FIG. 12 show three cases where different events, t_1 , t_2 , t_3 , have been chosen to act as *tracers* for component C_1 . For each choice of *tracer*, a distance is calculated for each communication instance, given by the number of communication instances separating it from the previous instance of a *tracer* token.

In order to perform partition assignment, CAT hardware is designed to detect a *tracer*, count and ignore x number of communication instances, and then start assigning the next p number of instances to a particular partition. For example, for $Formula_1$, t_1 is the *tracer*, $x = 4$, and $p = 3$. FIG. 12 shows the actual classification of communication instances that results from each of the three formulae. FIG. 13 shows the prediction accuracy of each of the formulae under test. It turns out that $Formula_1$ performs the best, predicting with a probability of 0.9 whether or not a given instance belongs to $Partition_1$.

Each formula involves a tracer as a starting point and a count on the number of occurrences of communication events and hence can

be expressed as a regular expression. Consequently, it can be directly translated to hardware implementation as a Finite State machine (FSM). FIG. 14 shows the FSM that implements *Formula₁*.

In general, choosing the appropriate tracer tokens and appropriate values for x and p may not be a trivial task. The problem is formulated in terms of a well-known problem from regression theory, and use known statistical techniques to solve it.

A data set is constructed from the CAG for each examined *tracer* consisting of *distances* d_1, d_2, \dots, d_n , and a 0 or 1 value for each d_i (derived from the partitioned CAG) indicating whether or not the communication instance at distance d_i from the tracer token belongs to a partition CP_i . The regression function f is defined as follows:

$$f(d, \theta) = \begin{cases} 1 & : \theta_1 < d < \theta_2 \\ 0 & : \text{elsewhere} \end{cases}$$

When f is 1, it indicates the instance at a distance d belongs to CP_i . An assignment $\theta = \{\theta_1, \theta_2\}$ (where $\theta_1 = x$ and $\theta_2 = x + p$) is required that causes the least square error $\sum_{i=1}^n |y - f(d, \theta)|^2$, where y is the value from the data set, and $f(d, \theta)$ is the prediction. Since the regression function is non-linear in θ , no universal technique is known to compute an explicit solution. However, several heuristics and iterative procedures exist which may be used. See G.A.F. Seber, C.J. Wild., *Non-linear Regression*. Wiley, New York, 1989.

Note that, the regression function could in general be constructed to utilize additional designer-specified parameters, such as partial internal state from the component, and properties of the data being processed by the system (e.g., a QoS stamp or a deadline value).

IV.D. Experimental Results

Results of the application of the present techniques to several example systems, including a TCP/IP network interface card system, and the packet forwarding unit of an output-queued ATM switch is presented. Performance results based on system-level co-simulation for each example are presented.

The first example is the TCP system described in the Background section in relation to Example 1. The second example is a packet forwarding unit of an output queued ATM switch (shown in FIG. 15). The system consists of 4 output ports, each with a dedicated small local memory that stores queued packet addresses. The arriving packet bits are written to a dual-ported shared memory. The starting address of each packet is written to an appropriate output queue by a scheduler. Each port polls its queue to detect presence of a packet. If it is non-empty, the port issues a *dequeue* signal to its local memory,

extracts the relevant packet from the dual-ported shared memory and sends it onto its output link.

The next example, SYS, is a four component system (shown in FIG. 16) where each component issues independent concurrent requests for access to a shared memory. FIG. 17 shows BRDG, another system consisting of four components, two memories and two buses connected by a bridge. The components themselves are each connected to one of the buses, but can make requests to the local bus arbiter for access to the remote memory via the bridge. Also, the components synchronize with each other via dedicated links.

Table 2 demonstrates the performance benefits of using CAT-based communication architectures over a static priority based communication protocol. See *On-Chip Bus Development Working Group Specification I Version 1.1.0*. VSI Alliance, Aug. 1998. Each row in the table represents one of the example systems described earlier. For each system, column 2 defines a performance metric. In the case of TCP, SYS and ATM these are derived from a set of deadlines that are associated with each piece of data that passes through the system. The objective in each case is to minimize the number of missed deadlines for these examples. In the case of BRDG, each data transaction is assigned a weight. The performance of the system is expressed as a weighted mean of the processing time of each

transaction. The objective in this case is to minimize this weighted average processing time. The static communication protocol consists of a fixed DMA size for each communication request and a static priority based bus arbitration scheme. For these examples, the CATs scheme for identifying partitions and assigning priorities and DMA sizes makes use of user specified information such as the weights on each requests and deadlines as described in Section 3 to provide for a more flexible communication protocol.

For each system, column 4 reports performance results obtained using a static communication protocol, while

Table 2: Performance of systems using CAT based architectures

Example System	Performance metric	Input Trace Information	Static Protocol	CATs based architecture	Performance improvement
TCP/IP	missed deadlines	20 packets	10	0	-
SYS	missed deadlines	573 transactions	413	17	24.3
ATM	missed deadlines	169 packets	40	16	2.5
BRDG	avg. execution time (cycles)	10,000 clock cycles	304.72	254.1	1.2

column 5 reports results generated by simulating a CATs based architecture. Speed-ups are reported in column 6. The results indicate that significant benefits in performance can be obtained by using a CATs based architecture over a protocol using fixed parameter values. In the case of TCP/IP, the number of missed deadlines was reduced to zero, while in the case of SYS, a 24X performance

improvement (reduction in the number of missed deadlines) was observed.

The design of an efficient CAT-based communication architecture depends on the selection of a good representative trace when performing the various steps of the algorithm of FIG. 10. However, the present algorithms attempt to generate communication architectures that are not specific to the input traces used to design them, but display improved performance over a wide range of communication traces. In order to analyze the input trace sensitivity of the performance improvements obtained through CAT-based communication architectures, the following additional experiment was performed. For the SYS example, the system was simulated with CAT-based and conventional communication architectures for three different

Table 3: Immunity of CAT based architectures to variation in inputs

Inputs to the SYS example	Input Trace Information	Static Protocol	CATs based architecture	Performance improvement
Trace 1	848 transactions	318	161	1.98
Trace 2	573 transactions	413	17	24.3
Trace 3	1070 transactions	316	38	8.37

input traces that had widely varying characteristics. Table 3 presents the results of our experiments. The parameters of the input traces were chosen at random to simulate run-time unpredictability. In all the cases, the system with a CAT-based communication architecture

demonstrated a consistent and significant improvement over the system based on a conventional communication architecture. This demonstrates that the performance of CAT-based architectures are not overly sensitive to variations in the input stimuli, since they are
5 capable of adapting to the changing needs of the system.

Other modifications and variations to the invention will be apparent to those skilled in the art from the foregoing disclosure and teachings. Thus, while only certain embodiments of the invention have been specifically described herein, it will be apparent that numerous
10 modifications may be made thereto without departing from the spirit and scope of the invention.